

EXPRESS MAIL LABEL: EM518923225US

Rec'd CT/PTO 07 NOV 1996  
PATENT APPLICATION

08/737201

CALVIN E. THORPE  
VAUGHN W. NORTH  
M. WAYNE WESTERN  
GRANT R. CLAYTON\*  
V. ROLAND SMITH  
ALAN J. HOWARTH, Ph.D.  
KARL R. CANNON  
RANDALL B. BATEMAN  
DAVID W. O'BRYANT

Above Attorneys Registered Before  
U.S. Patent & Trademark Office

KENNETH H. TARBET, Ph.D.  
RUSSELL C. SCOTT  
Technical Consultants

\* A Professional Corporation

LAW OFFICES

**THORPE, NORTH & WESTERN**

A LIMITED LIABILITY PARTNERSHIP

**SALT LAKE AREA OFFICE**

9035 SOUTH 700 EAST, SUITE 200  
SANDY, UTAH 84070  
TELEPHONE (801) 566-6633  
FACSIMILE (801) 566-0750  
E-MAIL PATLAW@TNW.COM

INTELLECTUAL PROPERTY LAW  
PATENTS, TRADEMARKS,  
COPYRIGHTS, UNFAIR  
COMPETITION AND  
RELATED MATTERS

State Bar Admissions Include:  
Utah, California, Idaho,  
New Jersey, and Texas.

**LOGAN OFFICE**  
1770 N. RESEARCH PARK WAY, #114  
NORTH LOGAN, UTAH 84341  
TELEPHONE (801) 750-0146

**LAS VEGAS OFFICE**  
Of Counsel: Neil J. Beller\*  
2345 REDROCK STREET, SUITE 310  
LAS VEGAS, NEVADA 89102  
TELEPHONE (702) 368-7767

\* Admitted in Nevada

November 7, 1996

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 35 U.S.C. § 371 is a copy of an international patent application for **SYSTEM AND METHOD FOR EXPANSION OF A COMPUTER** comprising 40 pages of specification and claims for the purposes of entering the national stage in the United States as a designated office.

Enclosed also are:

PCT/US96/03248

- X Seventeen (17) sheets of formal drawings.
- X A Verified Statement Claiming Small Entity Status for an Independent Inventor.
- X A Certificate of Mailing by "Express Mail" certifying a filing date of November 7, 1996, by use of Express Mail Label No. EM518923225US.
- X Check No. 32227 in the amount of \$635.00 is enclosed to cover the filing fee for this application where the International Searching Authority was prepared by the US PTO.

The fees are calculated below:

|  |   |                 |
|--|---|-----------------|
| Patent Office Filing Fee               | - | \$385.00        |
| 10 claims over 20 @ \$11 ea.           | - | \$110.00        |
| 6 independent claims over 3 @ \$40 ea. | - | <u>\$240.00</u> |
| <b>TOTAL</b>                           |   | <b>\$635.00</b> |

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

EXPRESS MAILING LABEL NO: EM518923225US

DATE: November 7, 1996

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

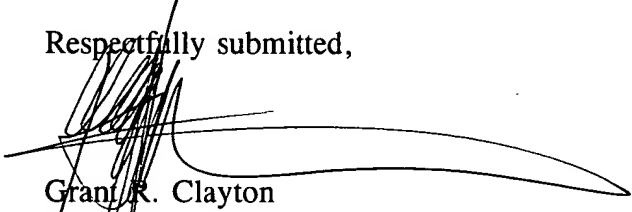
  
Grant R. Clayton  
Attorney for Applicant

- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication and during the pendency of this application or credit any overpayment to Deposit Account No. 20-0100.
- X Any additional filing fees required under 37 C.F.R. § 1.16.
- X Any additional fees required under 37 C.F.R. § 1.492
- X Any patent application processing fees under 37 C.F.R. § 1.17.
- X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned and designating Attorney Docket No. **T2844.US**.

Dated this 7th day of November, 1996.

Respectfully submitted,



Grant R. Clayton  
Attorney for Applicant  
Reg. No. 32,462

**THORPE, NORTH & WESTERN, L.L.P.**  
9035 South 700 East, Suite 200  
Sandy, Utah 84070  
Telephone: (801) 566-6633

GRC\dz

Enclosures

**ATTORNEY DOCKET NO. T2844.US**

C:\GRC\CHARLES\T2844US.TRN

DECLARATION CLAIMING SMALL ENTITY STATUS  
FOR AN INVENTOR

I, PAUL CHARLES, hereby declare that I qualify as an inventor as defined in 37 C.F.R. § 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office under Section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled SYSTEM AND METHOD FOR EXPANSION OF A COMPUTER, invented by Walter C. Peschke and Paul Charles, as described in the patent application filed in the United States Patent and Trademark Office as Serial No. 08/399,728 on March 7, 1995.

I further declare that all rights to the invention have been conveyed to me by Walter C. Peschke, and I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license any right, title, or interest in the invention to any person, firm, or organization.

I acknowledge the duty to file, in the above-mentioned application or any patent issued in respect thereof, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of (1) the issue fee or (2) any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and

belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

DATED this 20<sup>th</sup> day of APRIL, 1995.



\_\_\_\_\_  
PAUL CHARLES  
16700 WILD OAK WAY  
MORGAN HILL, CALIFORNIA 95037